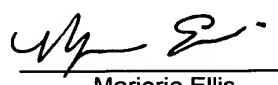


PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|---|--|
| In re Application of Yvonne LICHTÉ, et al. | Practitioner's Docket No. PAT-00376 |
| Serial No.: This application is a National Phase application of PCT/EP2003/010791 filed 29. September 2003. | Group Art Unit: Not Assigned |
| Filed: | Examiner: Not Assigned |
| For: COATING MATERIAL WHICH IS THERMALLY CURABLE AND CURABLE BY MEANS OF ACTINIC RADIATION AND METRHOD FOR COATING MICROPOROUS SURFACES | <u>EXPRESS MAIL CERTIFICATE</u> I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ER 193 000 309 US addressed to BOX PCT, Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below. 4/6/05 Date  Marjorie Ellis |

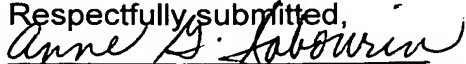
BOX PCT
Commissioner for Patents
PO Box 1450

DISCUSSION OF CITED ART

The applications listed below are copending with each other. The art cited to date is believed to be all of the art that was discussed in the background of each application, cited in a search report for a corresponding application for each application, and/or cited in an office action for each application.

| Serial No. | Filing Date | Inventors | Docket No. | Examiner Assigned |
|------------|-------------|-------------------|------------|--------------------|
| 09/926,532 | 11-16-01 | Meisenburg et al. | IN-5538 | Susan Berman |
| 09/940,748 | 08-28-01 | Bradford et al. | IN-5475 | Susan Berman |
| 09/941,118 | 08-28-01 | Bradford et al. | IN-5518 | Susan Berman |
| 09/941,283 | 08/28/01 | Bradford et al. | IN-5520 | Susan Berman |
| 09/941,295 | 08-28-01 | Bradford et al. | IN-5519 | |
| 10/009,394 | 11-01-02 | Allard et al. | IN-5531 | Erma C. Cameron |
| 10/018,106 | 10-30-01 | Allard et al. | IN-5528 | Sanza L. McClendon |
| 10/454,056 | 06-04-03 | Balch et al. | IN-5674 | Susan Berman |
| 10/470,863 | 07-31-03 | Baumgart et al. | IN-5704 | Unassigned |

Should the Examiner have any questions about the above remarks, the undersigned attorney would welcome a telephone call.


Respectfully submitted,

Anne Gerry Sabourin, Esq. (Reg. No. 33,772)
(248) 948-2021
BASF Corporation
26701 Telegraph Road
Southfield, MI 48034-2442
Date: March 28, 2005

10/531633

3188'd PCT/PTO 06 APR 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|---|--|
| In re Application of Yvonne LICHTE and Heinrich WONNEMANN | Practitioner's Docket No. PAT-00376 |
| Serial No.: This application is a National Phase application of PCT/EP2003/010791 filed 29. September 2003. | Group Art Unit: Not Assigned |
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BOX PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

COPIES PROVIDED:

☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except Copies of U.S. patents, U.S. patent application publications, copies of U.S. applications that were filed on or after June 30, 2003, and copies of U.S. applications that were filed before June 30, 2003 that are available in the Image File Wrapper System.

☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. _____, filed on _____. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:

- ☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

FOREIGN LANGUAGE DOCUMENTS

- ☐ There are no foreign language documents listed on Form PTO-1449.
- ☒ The following non-English language references were cited in the specification and/or ISR. The English language equivalents/translations for these references are being submitted in substitution for and/or in addition to the non-English references.

| Art Cited | Equivalent |
|--------------|--------------|
| DE19716020 | 6,335,397 |
| DE19924674 | 6,716,891 |
| DE19930067 | 6,727,316 |
| DE19930664 | 6,822,044 |
| DE19930665 | 6,737,468 |
| WO98/40170 | 6,333,077 |
| DE10113884 | 2004/0132843 |
| DE19920799 | 10/018,106 |
| DE10113884.9 | Translation |
| WO 02074872 | |

- ☒ English language abstract for the following non-English references are enclosed.
DE19920799
- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☒ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
- ☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:
- ☐ A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:

CERTIFICATION:

- ☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:

Information Disclosure Statement
Page 4

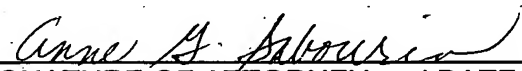
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**
- ☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$180.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.
- ☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**
- ☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **AND**
- ☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$180.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation **Deposit Account No. 23-3425** for any additional fees due hereunder.

Reg. No.: **33,772**

Tel. No.: (**248**) **948-2021**

Customer No. **26922**
Date **April 6, 2005**



SIGNATURE OF ATTORNEY and DATE
Anne Gerry Sabourin

Type or print name of attorney
BASF Corporation
26701 Telegraph Road

P.O. Address
Southfield, Michigan 48034-2442

FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No. PAT-00376

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

In re Application of: Yvonne LICHTÉ, et al.

Serial No.:

Filed: April 5, 2005

U.S. PATENT DOCUMENTS

| Examiner initial | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|-----------------|--------|------------------|-------|----------|-------------------------------|
| | AA | 2004/0132843 | 7/8/04 | Baumgart, et al. | 521 | 50.5 | 3/21/02 |
| | AB | | | | | | |
| | AC | | | | | | |

FOREIGN PATENT DOCUMENTS**U.S. PATENT DOCUMENTS****OTHER ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|----|--|
| | A1 | English Abstract for DE3828098 from EPO, 1990-03-04 |
| | A2 | English Abstract for DE4011045 from EPO, 1991-10-09 |
| | A3 | English Abstract for DE4020316 from EPO, 1992-01-09 |
| | A4 | English Abstract for DE4025215 from EPO, 1992-02-19 |
| | A5 | English Abstract for JP06-286008 from EPO, 1994-10-11 |
| | A6 | English Abstract for EP0872502 from EPO, 1998-10-21 |
| | A8 | English Translation for DE 198 26 715 |
| | AM | Chemical Abstract, Columbus, Ohio, US, XP-002265936, vol. 100 (1989) |
| | AT | Aaron LOCKHART, Bayer Polymers, Pittsburgh, PA, entitled "UV-Curable Waterborne Polyurethane Dispersions for Sealing Composite Automotive Body Panels", International Coatings for Plastics Symposium, Dated June 9-11, 2003 |
| | A7 | USSN 10/018,106 filed 10/30/2001, Application No. DE 19920799, entitled "Coating Material Which is Thermally Curable and Curable With "Actinic" Radiation, and Application of Said Material", April 25, 1999. |
| | AT | USSN 10/470,863 , filed March 21, 2001, entitled "Coating Microporous Surfaces" |
| | A7 | USSN 09/263,426 filed March 5, 1999, Fritz BARTOL et al., |
| | A9 | USSN 10/009,394 , filed 10/25/01, Alland et al |
| | AT | USSN 09/940,748 , filed 08/28/2001, entitled "Dual cure coating composition and processes for using the same", pages 1-40 |
| | AU | USSN 09/941,118 , filed 08/28/2001, entitled "Dual cure coating composition and processes for using the same", pages 1-42 |
| | AV | USSN 09/941,295 , filed 08/28,2001, entitled "Dual cure coating composition and processes for using the same", pages 1-42 |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|------------------|----|-----------------|----------|--------------------|-------|----------|----------------------------|
| | AA | 6,716,891 | 04/06/04 | Meisenburg, et al. | 522 | 90 | 5/26/00 |
| | AB | 6,822,044 | 11/23/04 | Bremser | 525 | 64 | 6/30/00 |
| | AC | 6,737,468 | 5/18/04 | Bremser | 524 | 547 | 6/30/00 |
| | AD | 6,727,316 | 4/27/04 | Bremser | 524 | | 6/28/00 |

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| Examiner initial | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATED YES NO | |
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| | AA | CA2 073 115 | 92.07.03 | CA | C08L | 075/14 | X | |
| | AB | CA2 079 498 | 92.09.30 | CA | B05D | 003/06 | X | |
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| | AE | DE 198 26 715 | 28.01.99 | DE | C07C | 69/54 | X | |
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| | AJ | DE4011045 | 10.10.91 | DE | B05D | 7/02 | | X |
| | AK | DE40 20 316 | 09.01.92 | DE | C09D | 183/04 | | X |
| | AL | DE40 25 215 | 13.02.92 | DE | C08G | 59/50 | | X |
| | AM | DE10113884 | 10.10.02 | DE | B05D | 7/00 | | X |
| | AN | DE19924674 | 30.11.00 | DE | C09D | 5/00 | | X |
| | AO | DE19930067 | 11.01.01 | DE | C09D | 133/04 | | X |
| | AP | DE19930664 | 11.01.01 | DE | C09D | 125/02 | | X |
| | AQ | DE19930665 | 11.01.01 | DE | C09D | 125/02 | | X |
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| | AS | EP 0 394 695 | 29.03.90 | EP | C08G | 18/42 | X | |
| | AT | EP 0 594 068 | 15/10.93 | EP | C09D | 201/02 | X | |
| | AU | EP 0 594 071 | 15.10.93 | EP | C09D | 201/02 | X | |
| | AV | EP 0 594 142 | 20.10.93 | EP | C08L | 57/12 | X | |
| | AW | EP 0 753 358 | 04.07.96 | EP | B05D | 1/00 | X | |
| | AX | EP 0 844 286 | 23.12.97 | EP | C09D | 5/03 | X | |
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| | AZ | EP 0 940 459 | 24.02.99 | EP | C09D | 201/00 | | X |
| | BA | EP0401892 | 25.05.90 | EP | C09D | 167/06 | X | |
| | BD | WO 02/074872 | 26.09.02 | WO | C09D | 175/04 | | X |
| | BE | WO02/10292 | 31.07.02 | WO | C09D | 4/06 | X | |
| | BF | WO 02/34808 | 02.05.02 | WO | C08G | 18/67 | X | |
| | BG | WO 94/10211 | 11.05.94 | WO | C08F | 8/30 | X | |
| | BH | WO 94/10212 | 11.05.94 | WO | C08F | 8/30 | X | |
| | BI | WO 94/10213 | 11.05.94 | WO | C08F | 8/30 | X | |
| | BJ | WO98/20047 | 14.05.98 | WO | C98F | 2/06 | X | |
| | BL | WO98/40170 | 17.09.98 | WO | B05D | 3/06 | | X |
| | BK | GB1583412 | 12.08.77 | GB | C08F | 226/02 | X | |

EXAMINER

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3

FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No. PAT-00376

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

In re Application of: Yvonne LICHTÉ, et al.

Serial No.:

Filed:

U.S. PATENT DOCUMENTS

| Examiner Initial | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|----------|----------------------|-------|----------|----------------------------|
| | 2003/0083397 | 5/1/03 | Bradford et al. | 522 | 173 | 8/28/01 |
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| | 4,128,600 | 12/5/78 | Skinner et al. | 260 | 859 | 1/14/77 |
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| | 4,192,762 | 3/11/80 | Osborn et al. | 252 | 182 | 4/20/78 |
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| | 4,377,457 | 3/22/83 | Boeckeler et al. | 204 | 159.16 | 11/21/80 |
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| | 4,607,084 | 8/19/86 | Morris, | 525 | 454 | 12/16/85 |
| | 4,618,632 | 10/21/86 | Su | 522 | 43 | 2/7/85 |
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| | 5,089,376 | 2/18/92 | Setthachayanon | 430 | 284 | 6/13/89 |
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| | 5,234,970 | 8/10/93 | Kyle | 522 | 96 | 12/20/91 |

EXAMINER

DATE CONSIDERED

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*Applicant has modified this form by deleting the word "prior".

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Atty. Docket No. PAT-00376

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U.S. PATENT DOCUMENTS

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|------------------|-----------------|----------|----------------------|-------|----------|----------------------------|
| | 5,326,621 | 7/5/94 | Palazzotto et al. | 428 | 195 | 8/19/92 |
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| | 5,580,614 | 12/3/96 | Amberg-Schwab et al. | 427 | 493 | 9/7/95 |
| | 5,601,878 | 2/11/97 | Kranig et al. | 427 | 386 | 6/23/93 |
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| | 5,691,419 | 11/25/97 | Engelke et al. | 525 | 208 | 5/19/92 |
| | 5,716,678 | 2/10/98 | Röckrath et al. | 427 | 407.1 | 3/26/94 |
| | 5,922,473 | 7/13/99 | Muthiah et al. | 428 | 481 | 12/26/96 |
| | 5,965,213 | 10/12/99 | Sacharski et al. | 427 | 475 | 4/4/96 |
| | 6,017,640 | 1/25/00 | Muthiah et al. | 428 | 514 | 4/23/99 |
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EXAMINER

DATE CONSIDERED

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